

**APPROVALS PANEL ENGLAND SOUTH  
APPLICATION FOR APPROVAL AS AN APPROVED CLINICIAN UNDER THE MENTAL  
HEALTH ACT 1983 (AS AMENDED 2007)**

**PLEASE ENSURE THE APPLICATION FORM IS COMPLETED IN FULL AND WITHOUT ERROR**

INITIAL  RENEWAL

**1. PERSONAL DETAILS**

Given / First Name(s):	
Surname:	
Please state any other names you have been known by:	
Date of birth:	

**2. PROFESSIONAL CONTACT DETAILS**

These details will be visible to users of the Mental Health Act approvals database

Employing organisation:	
Professional address:	
Postcode:	
Address for MHA approvals database users to view if different from professional address	
Postcode:	
Landline Number	
Work Mobile Number	
Email address	
Secretary's name, phone number and email ( <b>NOT</b> visible to approvals database users):	

### 3. PRESENT APPOINTMENT

Role:	Specialty:
Date of Appointment:	Date of End of Appointment:

Locum  Substantive  Retired  Independent  Fixed Term Contract  Training

Are you working through a Locum Agency? Yes  No  If Yes, please provide agency details in box below:

Agency name:	
Agency address:	
Postcode:	
Telephone number(s):	
Email:	

### 4. PERSONAL CONTACT DETAILS

This personal information is for **administrators use only** and will not be made public on the Mental Health Act approvals database.

Home address:	
Postcode:	
Home landline:	
Personal mobile:	
Personal email address:	

**5. AVAILABILITY**

These details will be visible to users of the Mental Health Act approvals database  
Please clearly indicate in the relevant box.

Regular working hours:	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Out of hours (evening/weekend):	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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**Fee Paying Work Availability:**

Start Time:		End Time:	
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Mon	<input type="checkbox"/>	Tue	<input type="checkbox"/>	Wed	<input type="checkbox"/>	Thur	<input type="checkbox"/>	Fri	<input type="checkbox"/>	Sat	<input type="checkbox"/>	Sun	<input type="checkbox"/>
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Tel No		Mobile No	
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**6. LANGUAGES SPOKEN**

Please list below:

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The information below is not visible to users of the Mental Health Act approvals database

**7. AC APPROVAL**

Is this your first application for approval?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Have you <u>ever</u> been refused approval by another Panel, if so, by which Panel and why?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Previous approving Panel (if applicable)	Expiry Date:

**8. PROFESSIONAL HISTORY**

Name of professional body:	Registration / GMC No:	
Is your registration with conditions? (if yes provide details – use a separate sheet if necessary)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Doctors - Are you on the GMC Specialist Register in Psychiatry?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

**9. PROFESSIONAL QUALIFICATIONS**

**Year Obtained**


**10. APPROVED CLINICIAN TRAINING**

Initial Approval - Have you attended a two day AC Induction training course ratified by an Approvals Panel within the <b>two</b> year period immediately preceding the date of this application?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Re-approval - Have you attended a one day AC Refresher course ratified by an Approvals Panel within the <b>one</b> year period immediately preceding the date of your application?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Have you booked on a course which is yet to take place? If so, please give details below:	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Course Provider		
Place:		
Date:		

(Please enclose a copy of your certificate. If you have yet to attend the training course, please send this once you receive it)

**11. CONTINUING PROFESSIONAL REQUIREMENTS**

Psychiatrists - Are you registered with the Royal College of Psychiatrists CPD programme? If, so please supply a copy of your latest Certificate of Good Standing	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If <b>not registered</b> with CPD scheme, please confirm that you have completed a minimum of 50 hours professional CPD over the last 12 months and duly completed the local CPD form and this has been approved by your peer group.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Higher training grade doctors please provide evidence of ARCP/Rita Form	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Nurses, psychologists, social workers, occupational therapists – evidence you are up to date within your professional requirements. Please give details on a separate sheet.	Yes <input type="checkbox"/>	No <input type="checkbox"/>

**12. DISCLOSURE AND BARRING SERVICE (FORMERLY CRB)**

If you are not employed by a person or organisation that is registered by the Care Quality Commission (under Chapter 2 of the Health and Social Care Act 2008), eg locum agency you will be required to provide a DBS certificate which is clearly dated.		
Certificate required?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

### 13. CURRICULUM VITAE (CV)

I enclose a full Curriculum Vitae (Please clearly indicate the reason for any gaps in employment, and if there are periods of part-time working, please clearly indicate WTE)	Yes <input type="checkbox"/>
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### 14. REFERENCES

Please supply the name, postal and e-mail address of two referees (one must have worked with you for a minimum of three months in the previous twelve months, and one must have known you for a minimum of three months in England or Wales. Referees must be able to comment on your understanding of and ability to implement the Mental Health Act (1983). England South Approvals Panel has set reference forms which will be sent to your referees.

One of the referees must be your current or most recent Medical Director or Clinical Director or equivalent, but where an applicant is on a training programme recognised by the Royal College of Psychiatrists, the referee may be the Programme Director or a person the approving body considers equivalent to a Programme Director (ie current or most recent Educational Supervisor). One of the referees must be an Approved Clinician, the other referee may be drawn from one of the groups listed, please indicate which group.

#### Referee 1

- Medical or Clinical Director or equivalent
- **(For higher trainees)** Training Programme Director or equivalent

Name:	
Role:	
Contact address:	
Postcode:	
Phone / mobile:	
Email address:	

#### Referee 2

- An Approved Clinician
- An Approved Mental Health Professional with whom the applicant has worked within the preceding twelve months
- Medical or Clinical Director or equivalent
- Programme Director or equivalent

Name:	
Role:	
Contact address:	
Postcode:	
Phone / mobile:	
Email address:	

**15. APPLICANT'S DECLARATION**

I understand that if Approved Clinician status is granted, pursuant to this application, my name, employment address and telephone numbers, grade and re-approval date will be added to the Mental Health Act 1989 approvals database. The approvals database is maintained on behalf of the Secretary of State and is used by AMHPs, police, employers, CCGs, courts, prisons to ascertain that a clinician has the appropriate approval under the Mental Health Act. The Data Protection Act 2018 and General Data Protection Regulation apply. By entering this process my documents will be stored electronically and shared electronically with England South Approvals Panel for reasons set out in the accompanying Privacy Notice.

**I declare the information I have given in this application is true and accurate.**

<b>SIGNATURE:</b>		<b>DATE:</b>	
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**Please note that until all relevant evidence is provided, an application cannot be considered by the Panel**

I  
**To be returned to:**

Mental Health Act Approvals Office, Winterhead Ltd, 44/45 Market Place Chippenham, Wiltshire SN15 3HU. <b>Or</b>  Email to <a href="mailto:office@winterhead.co.uk">office@winterhead.co.uk</a>	<b>Please only send the form via one delivery method, if emailed we do not require a postal copy as well.</b>  <b>If you have any queries prior to sending the application form please ring <u>01249 472393</u></b>
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**ENGLAND SOUTH APPROVALS PANEL**

**ACTING UP DECLARATION**

**To be completed by the person who made the acting up offer:**

**Name of Applicant**

**GMC Number**

I confirm on behalf of my organisation that the above applicant is supported in making an application of Approved Clinician status.

The applicant has been offered an Acting-Up position which will involve making decisions only devolved to an Approved clinician and they are competent to undertake this role.

This post forms part of their final training in order for them to attain their Certificate of Completion of Training for Psychiatry.

**Print Name**

**Job Title**

**Organisation**

**Signature**

**Date**

Please return to: [Office@winterhead.co.uk](mailto:Office@winterhead.co.uk); or Winterhead Ltd, 44/45 Market Place, Chippenham, Wiltshire, SN15 3HU telephone 01249 472392

## **PRIVACY NOTICE**

This notice has been prepared to comply with the General Data Protection Regulation.

The Approval Panel is one of four regional panels that are appointed by the Department of Health and Social Care to manage the application and approval processes for clinicians wishing to act as Section 12 doctors or Approved Clinicians under the Mental Health Act 1983.

Where Section 12 or Approved Clinician status is granted by the Approval Panel to a clinician, certain personal data, including name, employment address and telephone numbers, grade and re-approval date are added to the Mental Health Act 1989 approvals database and stored electronically. The Data Protection Act 1998 and General Data Protection Regulation (GDPR) apply.

Information on the approvals database may be shared with certain professionals and organisations that have a routine need to ascertain that clinicians have the appropriate approval under the Mental Health Act 1983. Primarily this will include local authorities and Approved Mental Health Professionals, and will also include police, NHS Trusts and Foundation Trusts, Clinical Commissioning Groups, courts, and prisons. By way of example, your information may be retrieved by a user searching for Section 12 doctors working in a particular geographical area.

This is therefore a public task under the GDPR. The processing is necessary for the Department and its Approval panels to perform a task in the public interest or for official functions, and the task or function has a clear basis in law.

Access and use of the MHA Database is subject to current Data Protection legislation and regulation. This includes The Data Protection Act 1998 and the GDPR, as well as related legislation including the Computer Misuse Act 1990. Only personnel authorised by the Department of Health and Social Care, or by the four regional Approval Panels, can access and use the Database. Such personnel are obliged to sign an agreement recognising that it is an offence to replicate and disseminate information contained on the database.

On expiry of a clinician's approval, or after a request to be removed from the database, personal data may be retained in an archive for a period of ten years. This is to allow for a timely reactivation of your approval status as well as to provide evidence of a clinician's previous approval status.

The Approval Panel will also keep personal data pertaining to a clinician's application for approval as is necessary to perform its function of considering such applications and granting approval.

Under the GDPR, you have the following rights:

- the right to be informed;
- the right of access;
- the right to rectification;
- the right to erasure;
- the right to restrict processing;
- the right to data portability;
- the right to object; and
- the right not to be subject to automated decision-making including profiling.

You are asked to consent to these arrangements on the Applicant's Declaration.





## APPROVALS PROCESS AND PROCEDURES

The Secretary of State has contracted with Winterhead Ltd to exercise the powers conferred by section 12ZA(5) of the Mental Health Act 1983(a) for the South of England, referred to as the approval functions.

Winterhead Ltd exercise its function by the retention of a panel, the Mental Health Act Approvals Panel South (the panel), drawn from a wide range of professionals who are representative of the membership of the register. The panel endeavors to be representative of its membership in terms of profession, geography, specialty and diversity by age, gender, ethnic and staff group.

The panel receive managerial support from Hilary Eagles, Head of Business, who is supported by the Approvals Administrators Nerissa Millett, Sarah Slowey and Sam Bolton employed by Winterhead Ltd in relation to the performance of these function.

### 1. APPLICATIONS

Application forms, additional required documentation and photo should be submitted to the Approvals Team, either by email or post. Please **DO NOT** email **and** Post submit via one delivery method only. In the first instance email paperwork to [office@winterhead.co.uk](mailto:office@winterhead.co.uk)

**Post to:** Winterhead Ltd, MHA Approvals Office, 44/45 Market Place, Chippenham, Wiltshire, SN15 3HU or

Email to:- [office@winterhead.co.uk](mailto:office@winterhead.co.uk)

Telephone : 01249 472393/2

You must allow a minimum of 8 weeks to complete the process, we ask you to refrain from contacting the office to find out progress of the application within the initial 8 week period. If we have any issues we do contact you directly.

**Completed applications for both initial and re-approval are subject to a strict administrative process which includes:-**

- Check the form is completely correctly and in full; if not return to practitioner
- Check the training certificate, a full CV, evidence of CPD and photo is attached;
- Email confirmation of receipt and confirm all is satisfactory;
- Check the referees meet the requirements of the instructions and take references.
- Undertake a Professional organisation registration check.
- Undertake Professional Performance Alerts check.
- To check a DBS certificate for persons not employed or in a partnership that is registered under Chapter 2 of the Health and Social Care Act 2008 or currently employed by a person so registered.
- On receipt of the above, initial approvals are sent to two panel members for scrutiny.
- On receipt of above, re-approvals are scrutinised by the Approvals Lead.
- Re-approvals who have not previously been approved by in the South of England will be sent to two panel members for scrutiny.
- Decision communicated to the applicant by email only.

Although processes are followed strictly, where there is any minor doubt or panel disagreement the Panel Chair may be asked to make a decision. For a major doubt or disagreement the application is put to the full panel at the next available hearing for resolution.

## Portfolio applications:

In addition to the above requirements, portfolios are taken to the next available full panel meeting or portfolio meeting for scrutiny and decision., or Portfolio applications may take up 6 months to process due to the panel meeting requirement, additional evidence required by the panel after scrutiny.

All documents contained in a portfolio must be anonymised, this includes not only all patient identifiable information, but ward names, colleagues' names, relatives' names, hospital, court, prison, police station names, day center, nursing homes, names etc.

- Portfolios are pre-scrutinised by the Head of Business prior to submission at the full panel to ensure they are of the acceptable standard required by the panel, if they are not of an acceptable standard the Head of Business will inform you in writing what is lacking and what is required, this may result in your portfolio not being reviewed at the next meeting.

## Appeals.

Individuals are able to appeal against any decision by notifying the Chair of the Panel in writing (email to [hilary.eagles@winterhead.co.uk](mailto:hilary.eagles@winterhead.co.uk) will suffice). The appeals process will then be:-

The Chair will review the application and any additional information and either:-

- Make the decision to approve;
- Send the application and additional information to the two panel members who scrutinised the papers originally;
- Take to the next available full panel meeting for review;
- The decision and reasoning will then be communicated to the individual by email. (referees will also be informed if the decision is not to approve)

If the individual is still not satisfied with the decision they must notify the Chair of the Panel in writing; (email [hilary.eagles@winterhead.co.uk](mailto:hilary.eagles@winterhead.co.uk) will suffice)

- The application will then be sent to a panel outside of the England South Region who will review the application against the Secretary of States' Mental Health Act 1983 Instructions with Respect to the Exercise of Approval Functions 2015.
- Their decision and reasoning will then be communicated to the Individual and Referees via email.

If the Individual is still not satisfied with the decision they must notify the Chair of the Panel (email to [hilary.eagles@winterhead.co.uk](mailto:hilary.eagles@winterhead.co.uk) will suffice)

- An extraordinary panel will be convened comprising of the vice Chair, Department of Health Mental Health Manager, Approvals Lead, two additional panel members from outside the England South Region. The decision will be final and communicated to the individual and referees.